

## Biomarkers of PARP inhibitor sensitivity

Nicholas C. Turner · Alan Ashworth

Received: 6 December 2010 / Accepted: 23 January 2011  
© Springer Science+Business Media, LLC. 2011

The PARP inhibitors represent one of the most exciting recent developments in cancer therapy. Substantial efficacy has been shown with PARP inhibitors in the treatment of hereditary *BRCA1/2* related Breast and Ovarian cancer as single agents [1–3] and in combination with temozolomide [4]. Similarly, encouraging activity has been shown in sporadic ovarian cancer with a PARP inhibitor as a single agent [5], and in sporadic triple negative breast cancer in combination with gemcitabine/carboplatin chemotherapy [6]. Yet the picture is not universally positive. Negative studies have been reported in heavily pre-treated sporadic triple negative cancer, with PARP inhibitor as a single agent [5] and no evidence of activity in combination with temozolomide [4]. To understand the reasons some studies have succeeded, and others failed, the development of biomarkers that will predict the sensitivity, or resistance, to PARP inhibitors is required.

There are two conceptually independent ways in which PARP inhibitors are thought to act as anti-cancer agents. First, PARP inhibitors work as single agents targeting homologous recombination (HR) deficient cancers through synthetic lethality. Second, PARP inhibitors also act as chemotherapy or radiotherapy sensitizers in the absence of

single agent activity. For example, PARP inhibitors substantially increase the potency of temozolomide in vitro. Whether this translates to an increased therapeutic window in cancers with normal DNA repair is unclear; substantial bone marrow toxicity has been demonstrated with PARP inhibitor and chemotherapy combinations. In reality many PARP inhibitors are being developed assuming a combination of these two strategies, on the assumption that a combination of a PARP inhibitor with chemotherapy may target HR deficient cancers more effectively than PARP inhibitor alone.

### Single gene biomarkers for PARP inhibitor sensitivity

In sporadic cancers there are multiple mechanisms through which HR may be lost and PARP inhibitors, at least in vitro, target HR defective cancers independent of the underlying molecular defect [7]. There is in vitro evidence, of varying strength, to support as potentially biomarkers of PARP inhibitor sensitivity, *BRCA1/2* sporadic mutation [8], *BRCA1* promoter methylation [9, 10], *BRCA1* suppression in the absence of methylation [10], *PTEN* deficient cancers [11], *ATM* mutation [12, 13], *MRE11* dominant negative mutations in mismatch repair deficient cancers [14] and *FANCF* promoter methylation [15]. This list can likely be simplified in that each tumour type differs in the potential mechanism of HR deficiency, and therefore each tumour type may ultimately be defined by a panel of biomarkers. However, there is a pressing need to identify unifying biomarkers of HR deficiency that detect the underlying defect in HR that all these diverse mechanisms share. Such a marker of ‘BRCAness’ [16] may predict the benefit from PARP inhibitors in multiple cancer types, regardless of the underlying molecular mechanism.

---

This is an invited commentary to article  
doi: 10.1007/s10549-010-1199-y.

---

N. C. Turner · A. Ashworth (✉)  
Breakthrough Breast Cancer Research Centre, The Institute  
of Cancer Research, London SW3 6JB, UK  
e-mail: alan.ashworth@icr.ac.uk

N. C. Turner  
e-mail: nicholas.turner@icr.ac.uk

N. C. Turner  
Breast Unit, Royal Marsden Hospital, London SW3 6JJ, UK

Set against this background Gonçalves et al. report an assessment of *PARP1* mRNA levels in sporadic breast cancer. Reanalysing data from their own previously published whole genome gene expression arrays they show that *PARP1* mRNA expression is highly heterogenous, and related to proliferative rate of the tumour [37]. This association with proliferation has been suggested previously [17], and is a pattern characteristic of multiple DNA repair genes where expression is higher in S phase with active DNA repair required to facilitate DNA replication. This is particularly true in cancer cells as oncogene driven replication is abnormal and prone to the generation of DNA damage [18]. Gonçalves et al. also show that *PARP1* levels are associated with genomic copy number, yet the functional significance of this is unclear. They go on to ‘meta-analyse’ multiple data sets, and combining such data sets is technically challenging and fraught with pitfalls. A particular difficulty is that the authors attribute tumour subtype using predictors that are not robust [19], and therefore for this reason alone this data should be treated with caution.

The ultimate question posed by Gonçalves et al. is whether PARP1 levels, assessed either at the mRNA or protein level, predict benefit from PARP inhibitors. Others have previously suggested that high PARP1 levels may predict for the benefit of cytotoxic DNA damaging chemotherapy [20, 21], providing some support for PARP1 levels as a potential biomarker, although this may possibly reflect the association of PARP1 levels with proliferation. So what evidence is there that high PARP1 levels would predict benefit from a PARP inhibitor? It is first important to recall that there is no evidence that PARP1 acts as an oncogene or promotes tumorigenesis, and that biomarkers to predict benefit of DNA repair inhibitors are unlikely to follow the same paradigms as biomarkers of therapies that target oncogenic drivers such as trastuzumab. In vitro sensitivity to PARP inhibitors as single agents is limited to cell lines with HR deficiency. This suggests that PARP1 levels are only likely to be a biomarker for PARP inhibitor sensitivity if HR deficient cancers have higher PARP1 levels, or to put it another way if HR deficiency results in compensatory increase in PARP1 levels and that results in an increase in PARP1 function. Yet at present there is little evidence to support this being the case. PARP1 protein levels do not differ between isogenic pairs of HR deficient and proficient cancers [22], and moreover there is in vitro evidence that PARP1 levels do not correlate with PARP1 activity [23]. In contrast, PARP activity is substantially increased in HR deficient cancers; In vitro PARP1 is hyperactive in HR deficient cell lines [22]. So rather than total PARP1 levels, a functional assay such as assessment of PAR [poly(ADP)ribose] polymer levels [24] may potentially assay HR function and possibly be a biomarker of BRCAness.

## Biomarkers of BRCAness

Where are we with other potential markers of BRCAness? Potential signatures of BRCAness have been reported based on the differences in gene expression between hereditary *BRCA1/2* related cancers and sporadic cancers [25, 26]. These gene expression signatures may enrich for a group of ‘hereditary-like’ sporadic cancers, and these cancers are more responsive to DNA damaging chemotherapy [25, 26]. At present these studies are interesting proofs of principle, but validation of these signatures is insufficient for use in the clinic. Perhaps the most promising potential biomarker of BRCAness would be if the genomic ‘scar’ of HR deficiency could be identified. In a way analogous to microsatellite instability in mismatch repair deficient cancers, there is likely to be a genomic mutational pattern that predicts for underlying HR deficiency. In part this may be a characteristic degree of gross genomic instability, but there is also some indication that certain complex mutations may be a marker of HR deficiency. For example, *BRCA1* mutant breast cancers frequently have characteristic mutations in *TP53* that are infrequent in sporadic cancer [27]. The presence of these complex mutations in sporadic triple negative breast cancers may predict benefit to neoadjuvant cisplatin [28], providing some support for their use as a biomarkers of HR deficiency, although further validation study is required.

## PARP inhibitors in combination or as single agents?

The PARP1 inhibitors have been proposed to target HR deficient cells through inhibiting single strand break repair, perhaps trapping PARP1 on the break inhibiting further repair [29]. Ultimately this may cause the collapse of replication forks leading to a DNA double strand breaks that are only effectively repaired by HR [8]. Yet recent data has added layers of complexity to the story. PARP1 itself may be required for the repair of stalled replications forks [30], but not for replication forks that have collapsed to generate a double strand break. Whether the involvement of PARP1 in stalled fork repair is significant to our understanding of the potency of PARP inhibitors is unclear, but this data does emphasise that the repair of stalled replication forks is complex, and that PARP inhibition may force repair down a particular pathway.

A major issue in biomarker development for PARP inhibitors is to try and dissect the issue of whether PARP inhibitors should be developed as single agents or in combination with chemotherapy. At present it is generally assumed that same biomarkers, of homologous recombination deficiency, will predict for the benefit of PARP inhibitors as single agents, as well as PARP inhibitors in

combination with chemotherapy. However, it is probable that different molecular defects in HR may predict sensitivity to PARP inhibitors alone, whereas other defects for benefit to PARP inhibitors in combination with chemotherapy. For example, cancers with a ‘hard’ defect in HR, such as *BRCA1/2* mutations, may be responsive to PARP inhibitors as single agents, whereas potentially cancers with a ‘soft’ or mild defect in HR may not show sensitivity to single agent PARP inhibition, but could show substantial benefit when the cell is stressed by the combination of PARP inhibition and more complex damage created by chemotherapy.

### Biomarkers for resistance to therapy

Mechanisms of resistance to PARP inhibitor therapy are beginning to emerge. In hereditary breast cancers reversion mutation of *BRCA1/2* gene, either directly to wild type [31] or by intragenic deletion [32], restoring normal *BRCA1/2* protein function is highly likely to be a major mechanism of resistance, with the mutation selected by prior platinum therapy [33]. Further potential resistance mechanisms identified include 53BP1 loss as a mechanism of resistance in *BRCA1* deficiency [34, 35], that partly rescues the deficiency in HR seen *BRCA1* deficient cells. Overexpression of *RAD51* has also been suggested to partially rescue HR deficiency [36], but it is uncertain if this is clinically relevant. It is also important to recall that many of the PARP inhibitors in current clinical development are P-glycoprotein substrates.

Finally, the issue of tumour heterogeneity, and the influence of prior therapy, on a biomarker is a likely to be a major confounding factor in predicting response. PARP inhibitors share potential resistance mechanisms with conventional chemotherapy, and this emphasises the importance of not relying fully on biomarkers present in the primary tissue, or germline, when treating the patients in the metastatic setting. Therefore, to maximise clinical potential it will be important to assess PARP inhibitors and companion biomarkers either in previously untreated cancers, such as the neoadjuvant setting, or with metastatic disease biopsies immediately prior to treatment.

**Conflict of interest** Professor Ashworth may benefit financially from the development of PARP inhibitors through patents held jointly with AstraZeneca through the Institute of Cancer Research ‘rewards to inventors’ scheme.

### References

- Audeh MW et al (2010) Oral poly(ADP-ribose) polymerase inhibitor olaparib in patients with *BRCA1* or *BRCA2* mutations and recurrent ovarian cancer: a proof-of-concept trial. *Lancet* 376(9737):245–251
- Tutt A et al (2010) Oral poly(ADP-ribose) polymerase inhibitor olaparib in patients with *BRCA1* or *BRCA2* mutations and advanced breast cancer: a proof-of-concept trial. *Lancet* 376(9737):235–244
- Sandhu SK, Wenham RM, Wilding G, McFadden M, Sun L, Toniatti C, Stroh M, Carpenter CL, De Bono JS, Schelman WR (2010) First-in-human trial of a poly(ADP-ribose) polymerase (PARP) inhibitor MK-4827 in advanced cancer patients (pts) with antitumor activity in *BRCA*-deficient and sporadic ovarian cancers. *J Clin Oncol* 28(15s): p Abstract 3001
- Isakoff SJ, Overmoyer B, Tung NM, Gelman RS, Giranda VL, Bernhard KM, Habin KR, Ellisen LW, Winer EP, Goss PE (2010) A phase II trial of the PARP inhibitor veliparib (ABT888) and temozolomide for metastatic breast cancer. *J Clin Oncol* 28(15s): p Abstract 1019
- Gelmon KA, Hirte HW, Robidoux A, Tonkin KS, Tischkowitz M, Swenerton K, Huntsman D, Carmichael J, Macpherson E, Oza AM (2010) Can we define tumors that will respond to PARP inhibitors? A phase II correlative study of olaparib in advanced serous ovarian cancer and triple-negative breast cancer. *J Clin Oncol* 28(15s): p Abstract 3002
- O’Shaughnessy J, Osborne C, Pippen J, Yoffe M, Patt D, Monaghan G, Rocha C, Ossovskaya V, Sherman B, Bradley C (2009) Efficacy of BSI-201, a poly (ADP-ribose) polymerase-1 (PARP1) inhibitor, in combination with gemcitabine/carboplatin (G/C) in patients with metastatic triple-negative breast cancer (TNBC): results of a randomized phase II trial. *J Clin Oncol* 27(18s): p Abstract 3
- McCabe N et al (2006) Deficiency in the repair of DNA damage by homologous recombination and sensitivity to poly(ADP-ribose) polymerase inhibition. *Cancer Res* 66(16):8109–8115
- Farmer H et al (2005) Targeting the DNA repair defect in *BRCA* mutant cells as a therapeutic strategy. *Nature* 434(7035):917–921
- Esteller M et al (2000) Promoter hypermethylation and *BRCA1* inactivation in sporadic breast and ovarian tumors. *J Natl Cancer Inst* 92(7):564–569
- Yu PJ et al (2007) Basic fibroblast growth factor (FGF-2): the high molecular weight forms come of age. *J Cell Biochem* 100(5): 1100–1108
- Mendes-Pereira AM et al (2009) Synthetic lethal targeting of *PTEN* mutant cells with PARP inhibitors. *EMBO Mol Med* 1(6–7):315–322
- Schaffner C et al (1999) Somatic *ATM* mutations indicate a pathogenic role of *ATM* in B-cell chronic lymphocytic leukemia. *Blood* 94(2):748–753
- Stilgenbauer S et al (1997) Biallelic mutations in the *ATM* gene in T-prolymphocytic leukemia. *Nat Med* 3(10):1155–1159
- Wen Q et al (2008) A mutant allele of *MRE11* found in mismatch repair-deficient tumor cells suppresses the cellular response to DNA replication fork stress in a dominant negative manner. *Mol Biol Cell* 19(4):1693–1705
- Olopade OI, Wei M (2003) *FANCF* methylation contributes to chemoselectivity in ovarian cancer. *Cancer Cell* 3(5):417–420
- Turner N, Tutt A, Ashworth A (2004) Hallmarks of ‘*BRCAness*’ in sporadic cancers. *Nat Rev Cancer* 4(10):814–819
- Brustmann H (2007) Poly(adenosine diphosphate-ribose) polymerase expression in serous ovarian carcinoma: correlation with p53, MIB-1, and outcome. *Int J Gynecol Pathol* 26(2):147–153
- Bartkova J et al (2006) Oncogene-induced senescence is part of the tumorigenesis barrier imposed by DNA damage checkpoints. *Nature* 444(7119):633–637
- Weigelt B et al (2010) Breast cancer molecular profiling with single sample predictors: a retrospective analysis. *Lancet Oncol* 11(4):339–349
- von Minckwitz G, Müller B, Loibl S, Blohmer JU, duBois A, Huober J, Kandolf R, Budcizes J, Denkert C (2010) PARP is expressed in all subtypes of early breast cancer and is a predictive

- factor for response to neoadjuvant chemotherapy. *Eur J Cancer Suppl* 8:188
21. Loibl S, Müller B, Von Minckwitz G, Blohmer JU, Bois Ad, Huober JB, Fend F, Budczies J, Denkert C (2010) PARP expression in early breast cancer and its predictive value for response to neoadjuvant chemotherapy. *J Clin Oncol* 28(15s): p Abstract 10511
  22. Gottipati P et al (2010) Poly(ADP-ribose) polymerase is hyper-activated in homologous recombination-defective cells. *Cancer Res* 70(13):5389–5398
  23. Zaremba T et al (2009) Poly(ADP-ribose) polymerase-1 polymorphisms, expression and activity in selected human tumour cell lines. *Br J Cancer* 101(2):256–262
  24. Yang SX et al (2009) Immunohistochemical detection of poly (ADP-ribose) polymerase inhibition by ABT-888 in patients with refractory solid tumors and lymphomas. *Cancer Biol Ther* 8(21): 2004–2009
  25. Konstantinopoulos PA et al (2010) Gene expression profile of BRCAness that correlates with responsiveness to chemotherapy and with outcome in patients with epithelial ovarian cancer. *J Clin Oncol* 28(22):3555–3561
  26. Rodriguez AA et al (2010) DNA repair signature is associated with anthracycline response in triple negative breast cancer patients. *Breast Cancer Res Treat* 123(1):189–196
  27. Holstege H et al (2009) High incidence of protein-truncating TP53 mutations in BRCA1-related breast cancer. *Cancer Res* 69(8): 3625–3633
  28. Silver DP et al (2010) Efficacy of neoadjuvant Cisplatin in triple-negative breast cancer. *J Clin Oncol* 28(7):1145–1153
  29. Godon C et al (2008) PARP inhibition versus PARP-1 silencing: different outcomes in terms of single-strand break repair and radiation susceptibility. *Nucleic Acids Res* 36(13):4454–4464
  30. Bryant HE et al (2009) PARP is activated at stalled forks to mediate Mre11-dependent replication restart and recombination. *EMBO J* 28(17):2601–2615
  31. Swisher EM et al (2008) Secondary BRCA1 mutations in BRCA1-mutated ovarian carcinomas with platinum resistance. *Cancer Res* 68(8):2581–2586
  32. Edwards SL et al (2008) Resistance to therapy caused by intra-genic deletion in BRCA2. *Nature* 451(7182):1111–1115
  33. Fong PC et al (2010) Poly(ADP-ribose) polymerase inhibition: frequent durable responses in BRCA carrier ovarian cancer correlating with platinum-free interval. *J Clin Oncol* 28(15):2512–2519
  34. Bouwman P et al (2010) 53BP1 loss rescues BRCA1 deficiency and is associated with triple-negative and BRCA-mutated breast cancers. *Nat Struct Mol Biol* 17(6):688–695
  35. Bunting SF et al (2010) 53BP1 inhibits homologous recombination in Brca1-deficient cells by blocking resection of DNA breaks. *Cell* 141(2):243–254
  36. Schild D, Wiese C (2010) Overexpression of RAD51 suppresses recombination defects: a possible mechanism to reverse genomic instability. *Nucleic Acids Res* 38(4):1061–1070
  37. Gonçalves A, Finetti P, Sabatier R, Gilibert M, Adelaide J, Borg J-P, Chaffanet M, Viens P, Birnbaum D, Bertucci F (2010) *Poly(ADP-ribose) polymerase-1* mRNA expression in human breast cancer: a meta-analysis. *Breast Cancer Res Treat*. doi: [10.1007/s10549-010-1199-y](https://doi.org/10.1007/s10549-010-1199-y)