



Innovation and Partnerships Office  
**INNOVATION PORTAL**

## **Glossary**

**Version 2.0**

**January 2016**



# Glossary

Term	Definition
Abandonment Date	Date Intellectual Property was abandoned in the USPTO or foreign jurisdiction.
Abandonment Date Start and End Date	The date range that can be specified when searching for Intellectual Property by the abandonment date.
Account Number	Internal used field.
Accepted	Online submission has been accepted by IPO and the agreement is under review.
Active	Is record Active or Inactive.
Advanced Find	Lets you search on additional fields or add and change the columns displayed in the results.
Agreement Contract Manager	IPO staff that manages the agreement.
Agreement Organization	The organization(s) that the agreement is entered with, internal and external.
Agreement Person	LBNL person associated to the agreement.
Application Date	Date that the Intellectual Property was filed with USPTO or foreign jurisdiction.
Assignments Signed & Recorded	Assignments signed by inventors and record by USPTO.
Associated Agreements	Associated agreement name and record number.
Attorney Notification	Internal used field.
Attorney Reference	Outside council reference number.
Authorized representative	A person who has the delegated authority to bind the Company/University/Institution in legal contracts.
Bar Publication/Publication Bar Date	Date and location of first public disclosure.
Business Development Manager	The Technology Commercialization Associate assigned to the invention record. For projects, it is the IPO project owner.
CDA	Expired type and not used.
COPA	Compensated Outside Professional Activity
CRADA	Cooperative Research and Development Agreement
Data	Refers to information that would likely be covered by a patent application.
Date Agreed to Expire	Agreed Expiration date.
Date Conceived	Date of conception of the invention.
Date Reduced to Practice	The date of the physical construction of an invented object, or a physical carrying out of an actual process in a method invention.
Declaration Signed	Declaration signed by the inventor(s).



<b>Description</b>	The description is a summary of the submitted invention from the ROI. Project descriptions describe the project.
<b>Disclosure Date</b>	Date that the IPO received the ROI from the inventor.
<b>Disclosure Status</b>	Status of the invention record: None, Decision Pending, Do not file/Assert, File/Assert, Inactive, Let Lapse, Managed by others, New/Open, Not A Subject Matter.
<b>Division Administrator</b>	Division administrative assistant of the division leader.
<b>Division Leader</b>	Leader or the head of the division.
<b>DOE _S</b>	The internal record number assigned by the DOE system to an invention and Intellectual Property record.
<b>DOE Fields</b>	Action and Dates by IPO with DOE for the invention and the Intellectual Property record.
<b>Effective Date Start and End Date</b>	The date range that can be specified when searching by the agreements effective date.
<b>EPO</b>	The application is filed with European Patent Office (EPO)
<b>Execution Date</b>	The date of the last signature party on the agreement.
<b>Expiration Date</b>	Agreement - the date that the agreement is to expire. Patent- The actual patent expiration date.
<b>Field of Use</b>	Internal used field.
<b>Filing Authorized</b>	Filing authorized by IPO.
<b>First Public Announcement</b>	First public disclosure (publication, presentation, online abstract published).
<b>iEdison Docket Number</b>	The invention number reported to iEdison.
<b>iEdison EIR number</b>	The iEdison EIR number is the record number given by iEdison for tracking records in their database.
<b>In NDA/MTA Queue</b>	Newly-created NDA/MTA agreement request has been received and is awaiting IPO review.
<b>Intellectual Property</b>	The Intellectual Property is what inventors or LBNL staff may refer to as the patent or copyright.
<b>Intellectual Property Issue Date</b>	Date the patent is issued.
<b>Intellectual Property Status</b>	The status of the IP record: Allowed, Application Filed, Assigned to Third Party, Bailment Only, Do Not Request Extension of Exclusivity, Filed Combined, Filing Authorized, Handled by DOE, Handled by Other, Handled by UC, Issued, Maintenance Fee Not Paid, Open Source Software, Patent Term Expired, Permission Granted, Permission Requested, Prosecution Abandoned, Registered/Registration Granted, Review at Future Date, Unknown.



<b>Intellectual Property Type</b>	Type of Intellectual Property: ARIPO, Continuation, Copyright, Design, Divisional, EPO, Foreign Filing, Interference, IPS Legacy Record, Maskwork, Other Plant, Plant, Provision, Re-Exam, Regular, Trademark, Unknown, Utility, WIPO, in place of PCT.
<b>Intellectual Property Issue Date Start and End Date</b>	The date range that can be specified when searching by the Intellectual Property issue date.
<b>In Process</b>	Status of currently worked on online submission MTA or NDA.
<b>Interinstitutional Agreement</b>	An agreement between LBNL and one or more institutional partners.
<b>Invention</b>	Invention is the submitted ROI from the inventors to the IPO office.
<b>Invention Account Number, Total Distribution, Starting Distribution Amount</b>	Internal used field.
<b>Invention Assessment Status</b>	Assessment status of the invention by IPO office: None, Copyright, Do Not Convert Provisional, Do Not File, Do Not File Copyright Application, File Application, File Application – EUVL CRADA, File Provisional Application, In Consideration, Not Yet Considered, Prepare Application As Time Permits.
<b>Invention Licensing Officer</b>	The IPO staff that may be the Technology, Patent, or Business Development Manager.
<b>Invention Process Section</b>	The section lists various internal actions noted and taken by the IPO staff in accordance to DOE reporting.
<b>Inventor</b>	A person identified by IPO as an inventor of an invention .
<b>IP</b>	Intellectual Property
<b>Intellectual Property Application Date Start and Date End</b>	The date range that can be specified when searching for Intellectual Property by the application date.
<b>IPO</b>	Innovation and Partnerships Office
<b>Keyword Search</b>	Search for words in the title and abstract.
<b>Lead</b>	Lead inventor determined by IPO staff.
<b>License Agreement</b>	Expired type and not used.
<b>License In Agreement</b>	Expired type and not used.
<b>License – Non-Exclusive Agreement</b>	Named licensee the right to use the Intellectual Property, but licensor remains free to exploit the same Intellectual Property and to allow any number of other licensees to also exploit the same Intellectual Property.
<b>License –Partially Exclusive Agreement</b>	Expired type and not used.



<b>License-Exclusive Agreement</b>	<b>Named licensee can exploit the relevant Intellectual Property rights. The licensor is excluded from exploiting the Intellectual Property rights.</b>
<b>Mailings</b>	<b>Email sent either broadly, to fulfill Fairness of Opportunity requirements, or to specific companies / contacts to determine industry interest.</b>
<b>Marketing Interactions</b>	<b>Interaction with IPO or LBNL staff and outside companies potentially interested in partnerships, licenses, or other agreements.</b>
<b>Master Agreement</b>	<b>Agreement is associated to a Master Agreement.</b>
<b>Material</b>	<b>Refers to tangible, physical research products, substance, components, etc.</b>
<b>MTA</b>	<b>Expired type and not used.</b>
<b>MTA MUTUAL</b>	<b>Material Transfer Agreement covering transfer of research materials in both directions between LBNL and another organization.</b>
<b>MTA OUTBOUND</b>	<b>Material Transfer Agreement covering research materials from LBNL and received by another organization.</b>
<b>MTA INBOUND</b>	<b>Material Transfer Agreement covering research materials received by LBNL from another organization.</b>
<b>NDA</b>	<b>Non Disclosure Agreement.</b>
<b>NDA INBOUND</b>	<b>Non-Disclosure Agreement covering research materials received by LBNL from another organization NDA MUTUAL - Non-Disclosure Agreement covering transfer of research materials in both directions between LBNL and another organization between all organizations.</b>
<b>NDA OUTBOUND</b>	<b>Non-Disclosure Agreement covering research materials from LBNL and received by another organization.</b>
<b>Off Minutes/Bring Back</b>	<b>Field expired and not used.</b>
<b>ONLINE CDA FORM</b>	<b>Expired type and not used.</b>
<b>Option &amp; Guest Agreement</b>	<b>Expired type and not used.</b>
<b>Option/Settlement Agrt (software)</b>	<b>Expired type and not used.</b>
<b>Organization Groups</b>	<b>List your Division and the Department under your Division you are assigned to.</b>
<b>Organization Leader</b>	<b>Directorate leadership individuals of the entire LBNL.</b>
<b>Organizations</b>	<b>A business, non-profit, research university or other entity.</b>
<b>Outside Participant</b>	<b>Outside party organization</b>
<b>Parent /PCT Application Number</b>	<b>US Utility or PCT application number.</b>
<b>Parent/PCT Filing Date</b>	<b>Date filed with USPTO or WIPO.</b>
<b>Patent Manager</b>	<b>The patent attorney assigned to the invention record.</b>



<b>Patent Obligations</b>	<b>Internal use field.</b>
<b>PCT</b>	<b>Patent Cooperation Treaty. This field indicates that the PCT filed with WIPO or USPTO.</b>
<b>Primary &amp; Secondary Technology Class</b>	<b>Fields not used.</b>
<b>Principal Investigator</b>	<b>The lead researcher for a particular well defined project</b>
<b>Priority Date</b>	<b>Date of the priority filing.</b>
<b>Priority Number</b>	<b>The first application filing.</b>
<b>Project</b>	<b>A record type for information not related to a single, specific invention or Intellectual Property.</b>
<b>Project Licensing Officer</b>	<b>Field not used.</b>
<b>Project Person Record</b>	<b>Person added to the project.</b>
<b>Project Type</b>	<b>Project types are consulting, IP, materials, service, and spinout.</b>
<b>Publication Date</b>	<b>Date that publication was published.</b>
<b>Publication Number</b>	<b>Publication number assigned by USPTO office or foreign jurisdiction.</b>
<b>Requestor</b>	<b>The person entering the online agreement submission for a Principal Investigator.</b>
<b>Returned</b>	<b>Status of online submission MTA or NDA returned to the Requestor by IPO for a explained reason.</b>
<b>ROI</b>	<b>Record of Invention</b>
<b>Software License – Cross</b>	<b>Software contract between two or more parties where each party grants rights to their Intellectual Property to the other parties.</b>
<b>Software License – Exclusive</b>	<b>Named licensee can exploit the relevant Intellectual Property rights. The licensor is excluded from exploiting the Intellectual Property rights. Software License – Nonexclusive - Grants licensee the right to use the Intellectual Property, but means that the licensor remains free to exploit the same Intellectual Property and to allow any number of other licensees to also exploit the same Intellectual Property.</b>
<b>SPP</b>	<b>Sponsored Partnership Projects. Formally known as WFO.</b>
<b>Start Date</b>	<b>The date the agreement or project is initially started.</b>
<b>Starting New Revenue</b>	<b>Internal used field.</b>
<b>Status</b>	<b>The current state of the agreement, Intellectual Property, and project record.</b>
<b>Tags</b>	<b>Internal used field.</b>
<b>Technology Manager</b>	<b>The licensing associate assigned to the invention record.</b>



<b>Technology Type</b>	The classification type of technology determined by the ROI submitted. The two alpha character are the acronym of the defined type of technology type.
<b>Termination start and end date</b>	The date range that can be specified when searching for agreements by termination date.
<b>Title</b>	The name given to the invention, agreement, Intellectual Property, and project record to identify or describe it.
<b>Total Distribution/Starting Distribution Amount</b>	Internal fields used by IPO office.
<b>Track Code</b>	The track code is the record number assigned by IPO to an invention, agreement, Intellectual Property, and project. The invention track code is the new naming convention from the previous system formally known as the "IB number".
<b>Under Review</b>	New online submission MTA or NDA status and to be reviewed by IPO.
<b>USPTO</b>	United States Patent and Technology Office
<b>USPTO Entity Size</b>	Internal fields used by IPO office.
<b>WFO</b>	Work For Others. Expired term and not used.
<b>Widget</b>	Each main section on the homepage is a widget.
<b>WIPO</b>	World Intellectual Property Organization
<b>Your Submissions Widget</b>	Homepage widget that displays submitted online agreements by the user.