



Chromosome-level genome assembly and transcriptome of the green alga *Chromochloris zofingiensis* illuminates astaxanthin production

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Microalgae have potential to help meet energy and food demands without exacerbating environmental problems. There is interest in the unicellular green alga *Chromochloris zofingiensis*, because it produces lipids for biofuels and a highly valuable carotenoid nutraceutical, astaxanthin. To advance understanding of its biology and facilitate commercial development, we present a *C. zofingiensis* chromosome-level nuclear genome, organelle genomes, and transcriptome from diverse growth conditions. The assembly, derived from a combination of short- and long-read sequencing in conjunction with optical mapping, revealed a compact genome of ~58 Mbp distributed over 19 chromosomes containing 15,274 predicted protein-coding genes. The genome has uniform gene density over chromosomes, low repetitive sequence content (~6%), and a high fraction of protein-coding sequence (~39%) with relatively long coding exons and few coding introns. Functional annotation of gene models identified orthologous families for the majority (~73%) of genes. Synteny analysis uncovered localized but scrambled blocks of genes in putative orthologous relationships with other green algae. Two genes encoding beta-ketolase (*BKT*), the key enzyme synthesizing astaxanthin, were found in the genome, and both were up-regulated by high light. Isolation and molecular analysis of astaxanthin-deficient mutants showed that *BKT1* is required for the production of astaxanthin. Moreover, the transcriptome under high light exposure revealed candidate genes that could be involved in critical yet missing steps of astaxanthin biosynthesis, including ABC transporters, cytochrome P450 enzymes, and an acyltransferase. The high-quality genome and transcriptome provide insight into the green algal lineage and carotenoid production.

Chlorophyceae | carotenoid biosynthesis | de novo genome | genome mapping | RNA-Seq

The growing human population generates an increasing demand for food and energy, which intensifies environmental problems such as global climate change. Microalgae have the potential to become a major source of sustainable bioproducts, because they use solar energy, grow quickly, consume CO₂, and can be cultivated on nonarable land (1, 2). However, there are presently considerable practical limitations in the production of biofuels from microalgae, resulting in low productivity and high costs. If microalgae can produce high-value bioproducts in addition to biofuel components, it could improve the economic viability of commercial algae production. The green microalga *Chromochloris zofingiensis* is a promising source of lipids for biofuel and the highly valuable ketocarotenoid astaxanthin, which has nutritive value because of its benefits in human health, making it a leading candidate for commercial scale-up (3–5). However, much remains unknown about the genome and regulation of metabolism in this alga.

C. zofingiensis (division Chlorophyta, class Chlorophyceae, order Sphaeropleales) (6) is a simple ~4- μ m, unicellular, haploid, coccoid alga containing multiple mitochondria, which are visualized typically as a tubular network, and a single interconnected chloroplast that occupies ~40% of the cell volume and contains starch granules (Fig. 1, [Movies S1](#) and [S2](#), and [SI Appendix, SI Text](#)). Most of the mitochondria are in close association with either the nucleus or the chloroplast. However, neither flagella (cilia) nor pyrenoids were visually observed. Because of the lack of obvious morphological characteristics, *C. zofingiensis* was originally described as a *Chlorella* species (6), at times transferred to the genera *Muriella* and *Mychonastes*, and finally placed using molecular sequencing into the genus *Chromochloris* (7). Similar to its close relative, the model alga *Chlamydomonas reinhardtii*, *C. zofingiensis* exhibits multiple fission

Significance

The growing human population generates increasing demand for food and energy. Microalgae are a promising source of sustainable bioproducts whose production may not exacerbate worsening environmental problems. The green alga *Chromochloris zofingiensis* has potential as a biofuel feedstock and source of high-value nutraceutical molecules, including the carotenoid astaxanthin. We present a high-quality, chromosome-level assembly of the genome by using a hybrid sequencing approach with independent validation by optical mapping. Our analyses of the genome and transcriptome, in addition to experiments characterizing astaxanthin production, advance understanding of the green lineage and carotenoid production, and enhance prospects for improving commercial production of *C. zofingiensis*.

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Data deposition: The *Chromochloris zofingiensis* version 5 assembly and associated annotations and gene families are included as [Datasets S1–S19](#) (see [SI Appendix, Datasets Key](#)), and are also available on Phytozome and the project webpage at [genomes.mcdb.ucla.edu/Chromochloris/](#). Raw Illumina and Pacific Biosciences genomic reads are available at NCBI Sequence Read Archive (accession nos. [SRR5310949–SRR5310954](#)), and raw RNA-Seq reads are under Gene Expression Omnibus accession no. [GSE92515](#), with FPKM matrices also available as [Datasets S20](#) and [S21](#).

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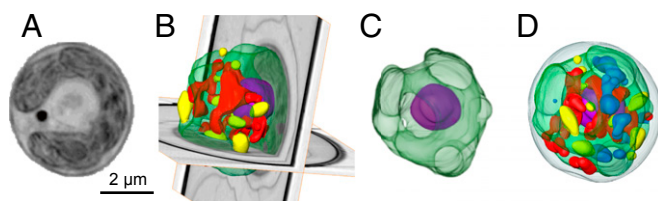


Fig. 1. *C. zofingiensis* cell morphology. Cryo-soft X-ray tomography of a reconstructed cell with segmented nucleus (purple), chloroplast (green), mitochondria (red), lipids (yellow), and starch granules within the chloroplast (blue). (A) A representative orthoslice of the reconstructed cell. (See [Movie S1](#) for transmission of single cell.) (B) Three-dimensional segmentation over two orthogonal orthoslices. (C) Segmented chloroplast and nucleus. (D) Fully segmented cell (see [Movie S2](#) for rotating view).

with temporal separation between cell growth and cell division. *C. zofingiensis* primarily divides into two or four daughter cells ([SI Appendix, Fig. S1](#) and [Movies S3](#) and [S4](#)), but also can divide into 16 ([SI Appendix, Fig. S1](#) and [Movie S5](#)), 32, or 64 cells (6). The regulation of cell division timing is unknown, but the daughter cells are the same size. Also like *C. reinhardtii* (8), the nucleus in *C. zofingiensis* divides before chloroplast division ([SI Appendix, Fig. S1](#) and [Movie S3](#)). Intriguingly, *C. zofingiensis* has an extremely high photoprotective capacity compared with other algae and plants (9). Moreover, under specific conditions, *C. zofingiensis* can dramatically increase the production of lipids and secondary carotenoids (3–5, 10). This alga produces triacylglycerols (TAGs), the preferred lipid precursor for biofuel products and accumulates these lipids to some of the highest levels of 96 microalgae analyzed (3). Thus, *C. zofingiensis* is considered one of the most promising biofuel feedstocks for commercial production.

Increased production of the highly valuable ketocarotenoid astaxanthin occurs in concert with accumulation of TAGs (4, 5). Astaxanthin has a broad range of commercial applications, including pharmaceuticals, nutraceuticals, cosmetics, food, and feed (11–13). Recent studies have highlighted the antioxidant and antiinflammatory benefits of astaxanthin for applications in human health including cancer, cardiovascular disease, neurodegenerative disease, inflammatory disease, diabetes, and obesity treatments (11, 12). Although astaxanthin can be produced synthetically, naturally produced astaxanthin is distinct in its esterification and stereochemistry (13–15). These differences result in natural astaxanthin having >20-fold stronger antioxidant activity than synthetic astaxanthin, and only natural astaxanthin has been approved for human consumption (14). Because *C. zofingiensis* is fast growing, can be cultured under many conditions (including with wastewater), and reaches high culture densities, *C. zofingiensis* has a higher potential to meet worldwide demand than other natural sources, such as the microalga *Haematococcus pluvialis*, yeast, transgenic plants, and crustaceans (13, 15–17). Thus, *C. zofingiensis* is a prime candidate to supply the world with natural astaxanthin and a source of renewable biofuel. However, improvements to maximize productivity and yield are needed, and key aspects of astaxanthin biosynthesis and regulation remain to be elucidated.

To better understand astaxanthin production and the biology of *C. zofingiensis*, we sequenced and assembled its nuclear, mitochondrial, and plastid genomes using a hybrid approach, constructed a transcriptome from 14 diverse conditions, examined transcriptomic changes through a shift from normal growth to that in high light, generated and analyzed astaxanthin-deficient mutants, and identified candidate genes involved in algal astaxanthin biosynthesis. The high-quality, chromosome-level genome assembly and accompanying transcriptome, combined with the capacity for genetic transformation (18), establish a molecular foundation to facilitate commercial development of *C. zofingiensis* and broaden understanding of the scope of metabolic and regulatory mechanisms found in the green lineage.

Results and Discussion

Whole-Genome Sequencing, Assembly, and Global Architecture. For genome assembly of *C. zofingiensis* strain SAG 211–14, we used a hybrid approach blending short reads (Illumina), long reads (Pacific Biosciences of California), and whole-genome optical mapping (OpGen) ([SI Appendix, SI Text](#) and [Datasets S1–S19](#), and refer to [SI Appendix, Datasets Key](#)). The combined power of these approaches yielded a high-quality haploid nuclear genome of *C. zofingiensis* of ~58 Mbp distributed over 19 chromosomes ([Fig. 2](#)) in the tradition of model organism projects, as opposed to the fragmentary “gene-space” assemblies typical of modern projects using high-throughput methods and associated software. Approximately 99% of reads from the Illumina genomic libraries were accounted for, and nonplaceholder chromosomal sequence covers ~94% of the optical map. Because no automated pipeline was found able to achieve the desired quality, methods are described in [SI Appendix, SI Text](#).

We compared genome features of *C. zofingiensis* to four other green algae: *C. reinhardtii*, *Coccomyxa subellipsoidea* C-169, *Chlorella* sp. NC64A, and *Monoraphidium neglectum* (the closest relative with a sequenced genome), and the model plant *Arabidopsis thaliana* ([SI Appendix, Table S1](#) and [SI Text](#)). Similar to most green algae, the genome of *C. zofingiensis* is about half the size of *A. thaliana* and *C. reinhardtii*; yet *C. zofingiensis* and all of the algae analyzed have more than double the number of chromosomes of *A. thaliana*. There is large variation in overall G+C content, with *C. zofingiensis* the most balanced (~51% nuclear, 53% coding); although *C. subellipsoidea* C-169 is similar to *C. zofingiensis*, the other algal genomes have high G+C content and *A. thaliana* has low G+C content, and high G+C is associated with more fragmentary assemblies. Although *Chlorella* sp. NC64A has a large number of large regions with distinct G+C content, *C. zofingiensis* does not. Despite chromosome 5 appearing to end in a large (>1 Mbp) inverted repeat (discussed in [SI Appendix, SI Text](#)), the relative repetitive content of *C. zofingiensis*, like *C. subellipsoidea* C-169, appears to be low (~6%). In contrast, *M. neglectum* is ~50% higher and *C. sp. NC64A* ~100% higher despite comparable genome sizes, and the large genomes of *C. reinhardtii* and *A. thaliana* are roughly double compared with the highest of the other four. After *C. subellipsoidea* C-169, *C. zofingiensis* contains the most repetitive fraction from novel repeats not known in Repbase Update (19), which presently focuses only on *A. thaliana* and *C. reinhardtii*. Gene density in *C. zofingiensis* is quite uniform over chromosomes, and there are no grand-scale gradients in genes or repeats such as found in, e.g., *A. thaliana* where each chromosome has several megabase pairs of pericentromeric heterochromatin (20), although some smaller scale repeat gradients are found near large assembly gaps and putative (peri)centromeres. RepeatMasker in conjunction with RepeatModeler and Repbase finds ~5.0% of *C. zofingiensis* sequence consists of interspersed repeats [~2.0% long interspersed nuclear elements (LINEs), ~1.5% LTRs, ~1.2% unclassified, and ~0.4% DNA elements] with the remainder mostly simple repeats (~1.0%) and with some satellites, low complexity sequence, and small RNA (total of ~0.1%). The combination of smaller genome size, balanced G+C content, and low repetitive sequence fraction undoubtedly assisted assembly.

Complete (circular with no gaps or ambiguous nucleotides) mitochondrial and chloroplast genomes for *C. zofingiensis* strain UTEX 56 (formerly *Bracteacoccus cinnabarinus*) were already available as NCBI accession nos. KJ806268.1 (21) and KT199251.1 (22), respectively. We independently assembled equivalent complete genomes de novo for strain SAG 211–14 ([SI Appendix, Table S1](#) and [SI Text](#)). The two strains were isolated from similar habitats in localities ~300 km apart by different people in subsequent years. For the mitochondrial genome, the SAG and UTEX strains were resolved as 41,733 bp and 44,840 bp, respectively, with the same major protein-coding genes, tRNAs, and rRNAs in the same order ([SI Appendix, SI Text](#)) (21). However, a pairwise alignment exhibits only ~66% nucleotide identity, with divergence concentrated

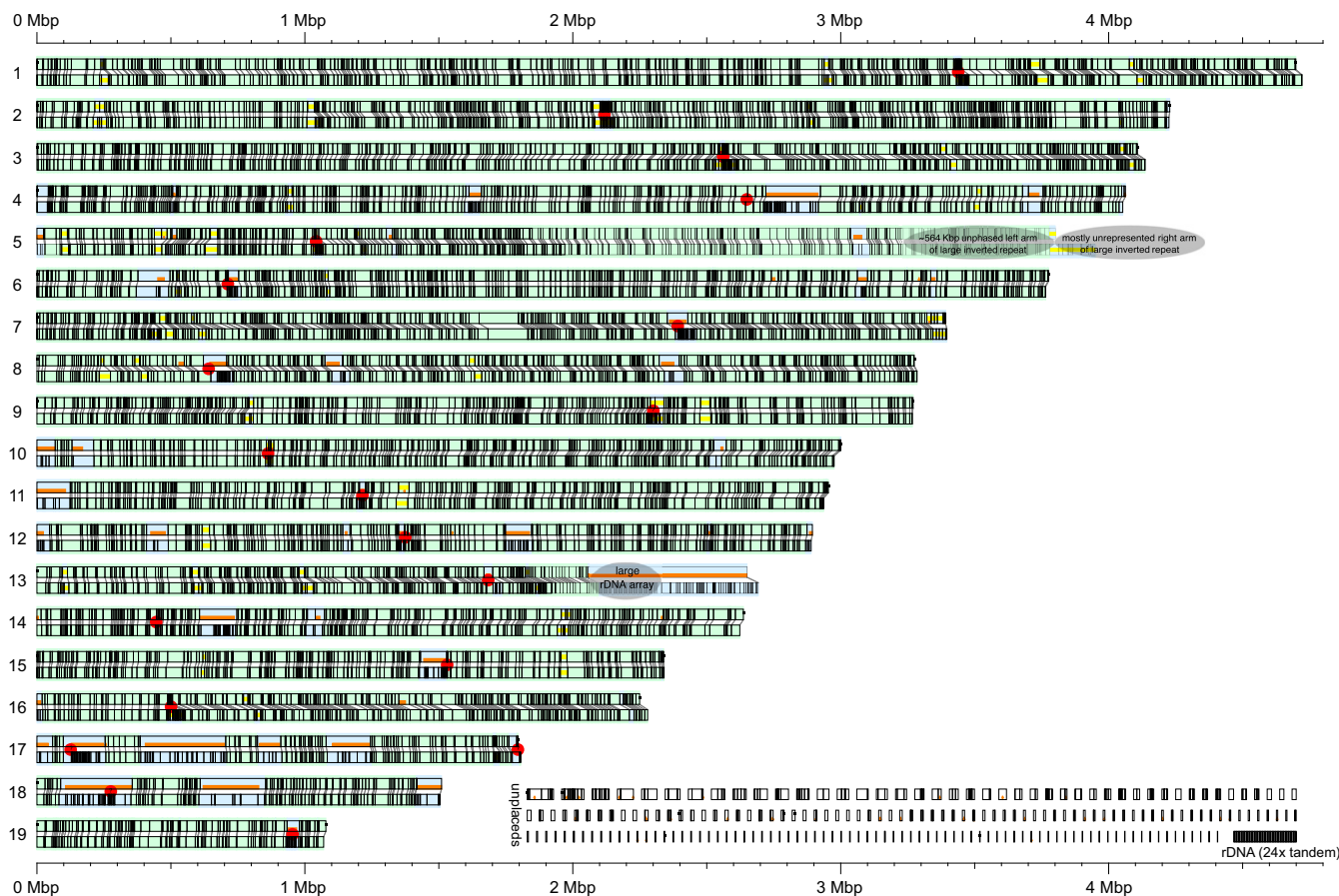


Fig. 2. *C. zofingiensis* nuclear genome. The assembled sequence of the 19 chromosomes of the nuclear genome is shown (top bar in each pair) with the matching restriction fragment length fingerprint from the optical map (bottom bar in each pair). Nominal plus strands run 5' to 3' left to right. Thin vertical divisions mark BamHI sites (in silico in top bars, optical consensus in bottom bars). Lines from restriction sites on one bar to another indicate a maximally scoring alignment computed with a dynamic programming algorithm similar to that used in OpGen's MapSolver software. Black squares at chromosome edges indicate sequence assembly has reached telomere-associated repeats. Thick horizontal orange bars indicate explicit assembly gaps (runs of Ns). Thick horizontal yellow bars indicate additional known assembly issues as cataloged in [Dataset S4](#). Light blue background shading shows where alignments are not one-to-one; shading is light green otherwise. Red dots mark possible (peri)centromeric loci. The end of chromosome 5 is discussed in [SI Appendix, SI Text](#), and ~24× copies of the rDNA unit likely predominate at the beginning of the large sequence gap at the end of chromosome 13. Unplaced contigs/scaffolds and 24 copies of the rDNA unit are shown near the bottom right.

intergenically and in *rrnL4*, where splicing differs. Restricted to coding sequence, nucleotide identity rises to ~98%, and amino acid identity is ~99% in translations under the National Center for Biotechnology Information (NCBI) *Scenedesmus obliquus* mitochondrial genetic code. For the chloroplast genome, the SAG and UTEX strains resolved as 181,058 bp and 188,935 bp, respectively, with a ~6.7 kbp and ~6.4 kbp, respectively, rRNA-related inverted repeat ([SI Appendix, SI Text](#)) (22). Neither the Illumina short reads nor Pacific Biosciences long reads were able to resolve the relative strand orientation of the two single copy regions for the SAG strain; a single contig was constructed with an arbitrary relative orientation that is opposite that given for the UTEX strain. Between the strains, all major protein-coding genes, tRNAs, and rRNAs are again the same in the same order. (In comparisons, the single copy regions were reoriented to agree.) Nucleotide identity is ~83%, with divergence concentrated intergenically and with the largest single difference being a loss in the SAG strain of almost all of a ~9.3-kbp UTEX region annotated as containing a ptz-like ORF. Coding sequence identity is ~98%, and translation under the NCBI bacterial, archaeal, and plant plastid code gives ~97% amino acid identity with lower identity in larger proteins (e.g., FtsH, RpoC2, and Ycf1). The low mitochondrial nucleotide identity was surprising given the presumed closeness of the strains.

The current *C. zofingiensis* assembly ("ChrZofV5") successfully extended into telomere-associated repeats for 25 of 38 chromosome tips, and unplaced contigs appear to contain another 11 tips, leaving only two tips unaccounted. The canonical unit appears to be (CCCTAAA)_n at 5' ends [and the reverse complement, (TTTAGGG)_n at 3' ends], similar to *C. subellipsoidea* C-169 and *C. sp. NC64A* and likely *M. neglectum*, although *C. reinhardtii* may prefer (CCCTAAAA)_n. A comparison of counts of apparently telomere-associated reads vs. generic nuclear reads (and constraints imposed by the optical map) suggest an average of ~3.5-kbp telomeric repeats per tip. Further, based on experience with particularly difficult sequences during assembly phases and analysis of the chromosomal distributions of specific dispersed and tandem repeat families, for most chromosomes exactly one region was identified as a putative (peri)centromeric locus. These loci are complex nested insertions of a ~4.7-kbp circular consensus sequence that consists of a ~4-kbp coding sequence of a type I/II Copia LTR retrotransposon together with a ~0.7-kbp spacer, and some 5S rDNA sequence (but apparently no large tandem arrays of a relatively short unit, such as in *A. thaliana*). The best NCBI BLASTX hits are to the filamentous green alga *Klebsormidium flaccidum* and the colonial green alga *Volvox carteri*. These regions are reminiscent of the Zepp clusters described in *C. subellipsoidea* C-169 (23), although the Zepp element is

LINE-like and not of LTR type. Various analyses (including constraints imposed by the optical map) provided a rough estimate of only ~25 kbp on average of (peri)centromere per chromosome in *C. zofingiensis*; perhaps construction of artificial chromosomes may be easier in *C. zofingiensis* than in some other organisms.

The canonical rDNA repeat unit of *C. zofingiensis* became apparent early in assembly because of its presence in relatively high copy number. It assembled as a 9,702-bp circular contig annotated by RNAmmer 1.2 as ~6.6-kbp 28S followed by ~1.1 kbp of spacer followed by ~1.8-kbp 18S followed by ~0.2 kbp of spacer. From the presence of homologous sequence on chromosome 13 leading into the large sequencing gap of that chromosome, the optical tandem repeat that begins that sequencing gap, and the presence of two BamHI sites in the consensus rDNA unit (creating alternating fragments of ~6.0 kbp and ~3.7 kbp that are consistent with the optical tandem repeat), it is estimated that ~24× tandem copies of the rDNA unit predominate in the first ~40% of the large sequence gap of chromosome 13. (Various analyses, e.g., *SI Appendix, Table S1*, assume 24 exact copies begin this gap.) The estimated number of copies is similar to *M. neglectum*, but drastically fewer than in the large genomes of *A. thaliana* and *C. reinhardtii*.

Genome Annotation and Transcriptomics. To facilitate annotation, we generated a *C. zofingiensis* transcriptome by using RNA-Seq data collected from cells grown under 14 diverse conditions designed to capture a significant fraction of the cell's transcriptional repertoire (*SI Appendix, SI Text* and *Dataset S20*). These conditions included treatments of different light intensities, nutrient limitations, and oxidative stress. Paired-end sequencing of transcriptome libraries was performed to facilitate determination of splice junctions, resolve close paralogous families, and de novo assembly (used as part of training the AUGUSTUS ab initio gene caller). To capture nonpolyadenylated transcripts, such as those from mitochondria and chloroplasts, libraries were prepared from total RNA depleted of rRNA.

RNA-Seq coverage, in conjunction with the de novo transcriptome assembly, was used to select a gene prediction method for producing gene models. Multiple pipelines, including Softberry's Fgenesh, MAKER (24), and AUGUSTUS (25), were evaluated by using metrics such as RNA-Seq coverage capture and intron/exon boundary correlation with coverage. Of all evaluated pipelines, we selected AUGUSTUS as trained on the de novo transcriptome, which identified 15,274 nucleus-encoded protein-coding genes, of which 15,203 begin with a start codon (ATG) and end with a stop codon (TAA, TGA, TAG). The remaining 71 gene models are located on the unplaced contigs/scaffolds and likely extend beyond an edge in the assembly.

When the RNA-Seq libraries were aligned to the genome assembly, $95 \pm 2\%$ of reads aligned uniquely (mean \pm SD, $n = 10$) and an additional $3 \pm 1\%$ aligned to multiple locations, suggesting that the genome assembly represents nearly all coding genes. Further, $55 \pm 3\%$ of RNA-Seq reads overlap by at least 80% with the coding portion of a gene model on the correct strand (mean \pm SD, $n = 10$); only $1.3 \pm 0.3\%$ overlap with a gene model on the opposite strand. Current gene models do not include 5' and 3' UTRs; extending gene models 1 kbp upstream and downstream increases the percentage of reads aligning to the correct strand to $96 \pm 2\%$.

To further examine completeness, BUSCO (26) was run to identify *C. zofingiensis* orthologs for a set of 303 universal single-copy genes (USCOs) putatively universally found in eukaryotes as single copies (Fig. 3A). Given just the assembly, orthologs were identified by two-pass BUSCO for 92% of USCOs, with 97% of these declared complete. Given peptides, orthologs were identified for 92% with 91% complete. BUSCO analyses on the other organisms of *SI Appendix, Table S1* suggest *C. zofingiensis* gene model quality is comparable to that of *C. subellipsoidea* C-169 and *C. sp. NC64A*, superior to *M. neglectum*, and inferior to extensively studied model organisms *C. reinhardtii* and *A. thaliana*.

They also suggest the *C. zofingiensis* genome quality is higher than all of the other algae (including *C. reinhardtii*), with less fragmented and fewer missing orthologs.

Before this work, NCBI contained 13 gene sequences marked as from *C. zofingiensis*. There was 99% or greater identity and 1% or fewer gaps as determined by BLAST alignment to our genome for 12 of the 13 (*SI Appendix, Table S2*). Only AY072815.1, heat shock protein 70, had limited identity, but this sequence was isolated from a different strain.

C. zofingiensis has the highest predicted coding sequence density (~39%) of the *SI Appendix, Table S1* organisms. The average length of its coding sequences (~482 aa) is the longest apart from outlier *C. reinhardtii*, which helps bring *C. reinhardtii* to almost as high a fraction of coding sequence although its genome is much larger. However, the median length of *C. zofingiensis* coding sequences (~347 aa) is more ordinary. The length of individual coding exons (whether by mean ~291 bp or median ~194 bp) of *C. zofingiensis* is the longest among the six, whereas the mean (~5.0) and median (4) number of coding exons per gene is low, being more similar to *M. neglectum* and *A. thaliana* rather than the higher numbers seen in the other algae. The number of identified tRNA loci (75, and forming a complete set for the standard amino acids) is moderate like *C. subellipsoidea* C-169, rather than low as for *C. sp. NC64A* and *M. neglectum*, or high for the two large genomes of *C. reinhardtii* and *A. thaliana*.

To compare the *C. zofingiensis* proteome to others in the green lineage, we functionally annotated gene models by forming families of genes across the six organisms of *SI Appendix, Table S1* using a method based on reciprocal near-best global amino acid alignments (*SI Appendix, SI Text* and *Datasets S9–S18*, and refer to *SI Appendix, Datasets Key*). This analysis generally permits one, many, or no genes per organism per family and separates genes into closer “primary” (putative orthologs) vs. further “additional” relationships (putative paralogs). The result contains 10,490 families involving more than one organism, of which 7,904 involve at most two genes per organism. There are some large families, with various histones constituting the largest. Approximately 73% of *C. zofingiensis* genes (and $\geq 60\%$ of every genome) are placed in a family involving multiple organisms. All six genomes (including *C. zofingiensis*) show evidence of tandem duplication of genes. A phylogram (Fig. 3B) estimated from putative 1:1:1:1:1:1 orthologs placed *C. zofingiensis* closest to *M. neglectum* and then *C. reinhardtii*, forming a three-member clade that joins a two-member clade containing *C. subellipsoidea* C-169 and *C. sp. NC64A*, consistent with existing literature (27), and this whole-genome data analysis agrees with placing *C. zofingiensis* into genus *Chromochloris* (7).

Although we do not find large stretches of nucleotide synteny between *C. zofingiensis* and the other genomes, we do find among all members in the green algal lineage (except for *M. neglectum*, whose current assembly is too fragmented for such an analysis) highly significant genomically localized blocks of genes in putative orthologous relationships (Fig. 3C and *SI Appendix, Figs. S2–S10*), extending the result for *C. subellipsoidea* C-169 vs. *C. sp. NC64A* (fig. 2 in ref. 23). Whereas block boundaries are rather well defined, gene order and coding strands within blocks are generally completely scrambled. The topology of Fig. 3B can be reconstructed just from the pattern of syntenies. It is likely that the blocks represent random chromosomal rearrangements that accumulate over time and diverge after speciation.

To gain more insight into the metabolic function and cellular processes associated with specific proteins, we used in silico methods to predict subcellular localization of proteins encoded by the nuclear genome of *C. zofingiensis*. Using PredAlgo, an algal-specific subcellular localization prediction program trained on *C. reinhardtii* (28), we predicted nucleus-encoded proteins to distribute as ~15% to the secretory system, ~12% to the chloroplast, and ~10% to mitochondria. The majority of proteins (~63%) were predicted to be localized to other areas, which may be due to unidentified transit peptides, or the transit peptides of *C. zofingiensis* being significantly different from PredAlgo's

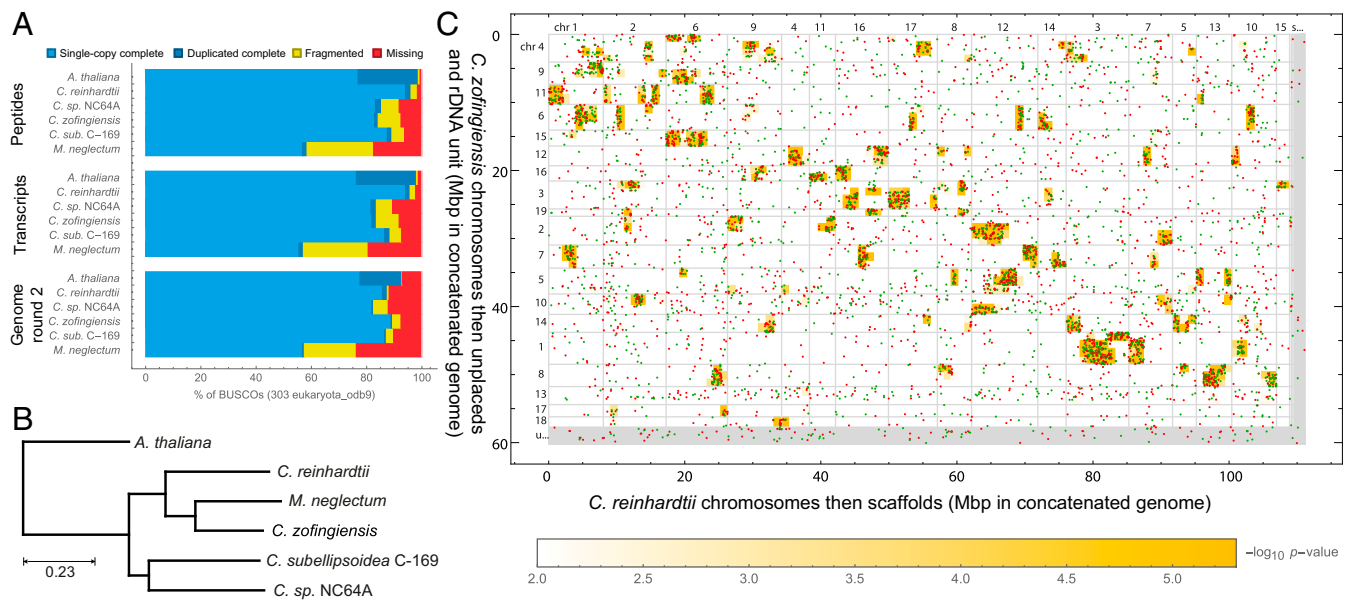


Fig. 3. Gene families. (A) BUSCO was run on the genomes (two-pass), splice variant-representative transcripts, and representative peptides of the six organisms of *SI Appendix, Table S1* to locate copies of its set of 303 putative eukaryotic universal single-copy genes (USCOs). In each run, each USCO is classified as exactly one of single-copy complete, duplicated complete, fragmented, or missing. Although the gene models of established model organism *C. reinhardtii* unsurprisingly fare better than the other algae, *C. zofingiensis* is comparable to the remaining algae, and its genome is the best of the algal genomes analyzed. *A. thaliana*'s excellent gene models show high duplication, suggesting BUSCO's set of eukaryotic USCO's are not all truly universally single copy. (B) Using a procedure based on reciprocal near-best global amino acid alignments, protein-coding gene families among the six organisms of *SI Appendix, Table S1* were formed; a phylogram estimated from restriction to putative 1:1:1:1:1:1 orthologs is consistent with existing literature (27). (C) Scatterplots show scrambled syntenic blocks of conserved genes in the algal lineage (*SI Appendix, Figs. S2–S10*) (similar to fig. 2 in ref. 23). (Organism pairs involving the highly fragmented assembly of *M. neglectum* are omitted.) Each plot uses those gene families that, for the two organisms selected, have exactly one primary gene *a* in the first organism and exactly one primary gene *b* in the second organism. A dot with *x, y* coordinates at the midpoints of the span of the coding sequences for *a, b* is drawn in red if *a* and *b* are on the same nominal genomic strands and in green if they are on opposite strands; dots are plotted in a randomized order. Order of assembly sequences (but not nucleotides within sequences) is permuted on both axes so as to compact and emphasize statistically enriched regions (indicated by orange background shading); small inner numbers give relevant portions of the assembly's sequence names for sequences at least 0.5 Mbp in length (e.g., "1" for "chr1", i.e., "chromosome 1"). Rightward and downward are 5' to 3' on assembly plus strands and light gray lines mark assembly sequence boundaries; outer numbers give sequence scales (Mbp positions along concatenated genomes), which are equal horizontally and vertically. Small reference sequences (e.g., unplaced contigs labeled as "u..." and scaffolds labeled as "s..." group at right and bottom sides (for which light gray dividing lines behind red and green orthologous gene dots may merge). Further details are given in *SI Appendix, SI Text*.

C. reinhardtii training set. Additionally, errors in gene models, especially in terminal regions, may result in inaccurate localization predictions. The predicted distribution is similar to what has been noted for *C. reinhardtii* (29).

Mitochondrial and chloroplast genes were highly expressed over a wide range of conditions (*SI Appendix, Fig. S11*). Despite the organellar genomes being significantly smaller and expressing many fewer genes, the transcripts expressed by the chloroplast and mitochondria represent a substantial portion of the total cellular mRNA. In an analysis of the transcriptomic data from 14 diverse growth conditions, $31 \pm 9\%$ and $7 \pm 2\%$ of total RNA-Seq reads uniquely mapped to the chloroplast and mitochondrion genomes, respectively (mean \pm SD). Thus, RNA expression is dramatically higher for organellar genes: for the 73 protein-coding genes encoded in the chloroplast and 22 in the mitochondria, median transcript abundance across conditions was 686 and 419 FPKMs, respectively, in contrast to 5 FPKMs across all nuclear-encoded genes.

To identify genes that were more highly regulated under specific conditions, we compared expression of every gene over the 14 conditions and selected those with *z*-scores beyond ± 2 , plotting these as heatmaps (*SI Appendix, Figs. S12–S21*). The most prominent treatment to affect nuclear and plastid gene expression was oxidative stress by hydrogen peroxide (*SI Appendix, Fig. S12*), which significantly affected 3,934 genes. These genes were enriched for ABC-transporter domains ($P = 1.0 \times 10^{-6}$), suggesting that export of toxics and xenobiotics is a significant mechanism for handling environmental stress in *C. zofingiensis*. Similarly, singlet oxygen stress induced by the chemical Rose Bengal

affected 1,477 genes (*SI Appendix, Fig. S20*) and heterotrophic growth on glucose identified 853 genes (*SI Appendix, Fig. S14*). Nutrient deprivation had similar effects on most genes and far fewer genes were identified by analyses; for example, only 21 genes were detected as highly enriched in the iron-deficient sample.

Cryptic Sex and Motility in *C. zofingiensis*. Although *C. zofingiensis* has long been assumed to be asexual and nonmotile, we investigated the presence of putative cilia/flagella and meiosis genes in its genome via the computationally-identified gene families in conjunction with examination of associated gene expression across our conditions. The sequencing of the genome of *C. sp. NC64A* established a precedent for this type of analysis in green algae; similar to *C. zofingiensis*, neither sexual cycle nor flagella have been observed in *C. sp. NC64A*, yet its genome revealed meiosis-specific and primarily motile flagella genes, suggesting a cryptic sexual cycle (30). In the *C. zofingiensis* genome, we found putative orthologs for 73 of 78 genes ($\sim 94\%$) in the CiliaCut (31), suggesting that there could be a previously unobserved motile life cycle stage with flagella in this organism. *C. zofingiensis* was missing only five genes: *DLC4*, *FAP111*, *FBB5*, *IFT20*, and *Tctex1*. (All gene symbols in this work are with implicit "[v5.2]" version suffixes.) In *C. reinhardtii*, the *ift20* deletion mutant lacks flagella and is immotile (32), but perhaps *C. zofingiensis* has an as-yet unidentified gene with similar function. *C. zofingiensis* seems to have critical *C. reinhardtii* genes for flagella motility (*FLA14*; ref. 33) and forming flagella (*PF15*, *PF19*), including conservation of functional residues in these two genes (34, 35). Additionally, *FLA14*, *PF15*, and *PF19* were expressed in a variety of conditions,

which suggests that these genes are functional despite lacking visible flagella. Furthermore, we identified putative orthologs of 25 of 40 *C. reinhardtii* meiosis-associated genes (30, 36), which was more than we observed for *C. sp.* NC64A (only 22 of 40). In *C. zofingiensis*, most of these genes are transcribed under many conditions, but a few such as *GSP1*, *MER3*, and *DMC1* had low transcript abundance except under a low dose of Rose Bengal (5 μ M Rose Bengal, 0.5 h dark and 1 h of 100 μ mol photons \cdot m $^{-2}$ \cdot s $^{-1}$). Eleven of the families not found in *C. zofingiensis* were specific to *C. reinhardtii*. Although these data cannot rule out the possibility that a sexual cycle was recently lost, it is more likely that the high number of apparent cilia/flagella and meiosis genes suggests the existence of sexual reproduction and a motile stage that has not yet been observed in *C. zofingiensis*. Life cycle studies and, in particular, investigations in search of a cryptic sexual cycle, which may require specific conditions, should be a subject of future research in *C. zofingiensis*.

Astaxanthin Biosynthesis Pathway and Astaxanthin-Deficient Mutants.

Astaxanthin is an important and valuable algal bioproduct. In microalgae, astaxanthin is often produced in high abundance under stressful conditions, consistent with the hypothesis that it confers protection against oxidative stress. However, astaxanthin is not coupled functionally or structurally to the photosynthetic apparatus. Instead, astaxanthin functions as an internal sunscreen and antioxidant by absorbing excess light and quenching reactive oxygen species (13, 15). Additionally, astaxanthin accumulates in cytoplasmic lipid droplets where it could prevent peroxidation of fatty acids (13, 15). Astaxanthin is synthesized via the carotenoid biosynthetic pathway, which has been reviewed (15, 37, 38); however, key steps in its biosynthesis are still undetermined. Most of what is known about astaxanthin biosynthesis in algae comes from studies of *H. pluvialis*, for which we lack a sequenced genome. It is thought that β -carotene is exported from the chloroplast into lipid droplets in *H. pluvialis* where astaxanthin is synthesized by the introduction of two keto-groups catalyzed by a di-iron beta-ketolase (BKT), which is followed by the introduction of two hydroxyl groups catalyzed by a hydroxylase (CHYB) (15, 39). However, the mechanisms of export and transport remain elusive. In contrast, it is hypothesized that, in *C. zofingiensis*, the hydroxylation of β -carotene occurs first and that astaxanthin is formed by the ketolation of zeaxanthin (13). In vitro enzymatic studies of *C. zofingiensis* show that BKT catalyzes the ketolation of β -carotene to canthaxanthin and zeaxanthin to astaxanthin, whereas CHYB catalyzes the hydroxylation of β -carotene to zeaxanthin but not of canthaxanthin to astaxanthin (13). Liu et al. (13) also concluded there was only one copy of *BKT* and *CHYB* present in *C. zofingiensis*; however, a recent study suggests there are two copies of *BKT* (40). For comparison, *H. pluvialis* has three *BKT* genes that are differentially regulated by environmental factors (41). In both microalgae, astaxanthin is esterified and stored in lipid droplets; however, the acyltransferase involved has not been identified.

The genome of *C. zofingiensis* provides insights into the astaxanthin pathway. The annotated carotenoid biosynthetic pathway in this alga (*SI Appendix, Table S3*) appears to be similar to that in *C. reinhardtii* (42). For example, there are four putative carotene hydroxylase genes, encoding three cytochrome P450s (two *CYP97A* and one *CYP97C*) and one di-iron type hydroxylase (*CHYB*). In addition, we found two putative *BKT* genes in the genome, in accordance with recent results (40). *BKT1* and *BKT2* contain highly conserved histidine motifs present in *H. pluvialis* and bacterial beta-ketolases (43, 44). These motifs are involved in iron binding and, in bacteria, mutations in them abolish the ability to form ketocarotenoids (44). The *BKT* genes from microalgae share highly conserved regions and are more similar to each other than those from bacteria. PredAlgo predicts the localization of both *BKT1* and *BKT2* to “other” areas of the cell, which could support localization of these enzymes to the cytosol. However, this prediction has not yet been verified experimentally. The genome also shows that there is a wide distribution of carotenoid biosynthesis genes across many chromosomes as is typical in eukaryotes.

To study *C. zofingiensis* astaxanthin production with a non-biased approach, a genetic screen was conducted to identify genes essential for astaxanthin synthesis. *C. zofingiensis* cells were randomly mutated by using UV radiation, grown on glucose medium to induce astaxanthin accumulation (13), and 65 colonies were identified that were visibly green rather than pink because of the lack of astaxanthin production, which was subsequently confirmed by HPLC analysis (*SI Appendix, SI Text*). Similar HPLC chromatograms were observed for all mutants. Initially, three strains were selected for sequencing of *BKT1* and *BKT2*, and all three showed different single mutations in highly conserved areas of *BKT1* but no mutations in *BKT2*, and, thus, these mutants were named *bkt1-1*, *bkt1-2*, and *bkt1-3* (*SI Appendix, Tables S4 and S5*). When grown in high light, the mutants accumulated increased levels of astaxanthin precursor compounds, especially zeaxanthin but also β -carotene, and more violaxanthin, despite similar levels of other pigments (Fig. 4 and *SI Appendix, SI Text*). *BKT1* was sequenced in an additional 13 mutants, and all showed mutations in conserved regions of the gene (*SI Appendix, Table S4*). These data suggest that the disruption of *BKT1* alone is sufficient to abolish astaxanthin production, but we cannot unambiguously distinguish whether the committed step toward astaxanthin begins with β -carotene or zeaxanthin. Although the screen demonstrates that the *BKT1* enzyme is required for astaxanthin biosynthesis, based on these results we cannot determine whether *BKT2* is nonfunctional or whether it may act in a secondary reaction downstream of *BKT1*. Both *BKT1* and *BKT2* were highly expressed in response to H₂O₂ stress (876 and 367 FPKMs, respectively), and both were identified in the screen for H₂O₂ treatment-enriched genes (*SI Appendix, Fig. S12*). To a lesser extent, both were expressed in response to Rose Bengal treatment (394 and 35 FPKMs). It is unlikely that *BKT1* and *BKT2* form an obligate heterodimer because then mutations in either *BKT1* or *BKT2* should have been detected in different mutant strains.

High Light-Induced Gene Expression. To investigate the physiological changes associated with acclimation to high light and to elucidate unidentified genes in the astaxanthin biosynthesis pathway in *C. zofingiensis*, an RNA-Seq experiment was conducted in which cultures were moved from normal growth light intensity (100 μ mol photons \cdot m $^{-2}$ \cdot s $^{-1}$) to high light intensity (400 μ mol photons \cdot m $^{-2}$ \cdot s $^{-1}$) (*SI Appendix, SI Text* and *Dataset S21*). Cultures were collected for nuclear, plastid, and mitochondrial gene expression analyses at 0, 0.5, 1, 3, 6, and 12 h ($n = 4$) after the shift to high light, and in control cultures, which were maintained at the normal growth light intensity (*SI Appendix, SI Text*).

A principal component analysis of the regularized log₂-transformed counts from the resulting transcriptome profiles showed that time and treatment explain nearly all observed variation in gene expression between the conditions (95%, Fig. 5A). Time induced the largest variation for both the control and treatment cultures, which may have been caused by the diurnal lighting regime; these cultures were maintained on a day-night cycle (16 h light, 8 h dark) and sampled during daylight hours. The large changes throughout the day are not surprising given that in *C. reinhardtii* more than 80% of the transcriptome is differentially expressed with diurnal periodicity (45). In addition to time-of-day changes, there is also a substantial effect from the shift to high light, as evidenced by the distinct groupings of control and treatment samples. Control cultures at each time point were used to separate the effects of time from high light.

To further evaluate the effect of high light, differentially expressed genes at each time point were identified. Those genes whose expression had a greater than twofold change ($P < 0.01$) in either direction between the high light-treated cultures and controls were determined and visualized in a heat map, scaled relative to the number of genes in each group (Fig. 5B). Most genes were differentially expressed either early in the experiment (276 genes at 0.5 h and 492 genes at 1 h) or late (362 genes at 12 h). The greatest overlap of significantly differentially expressed genes

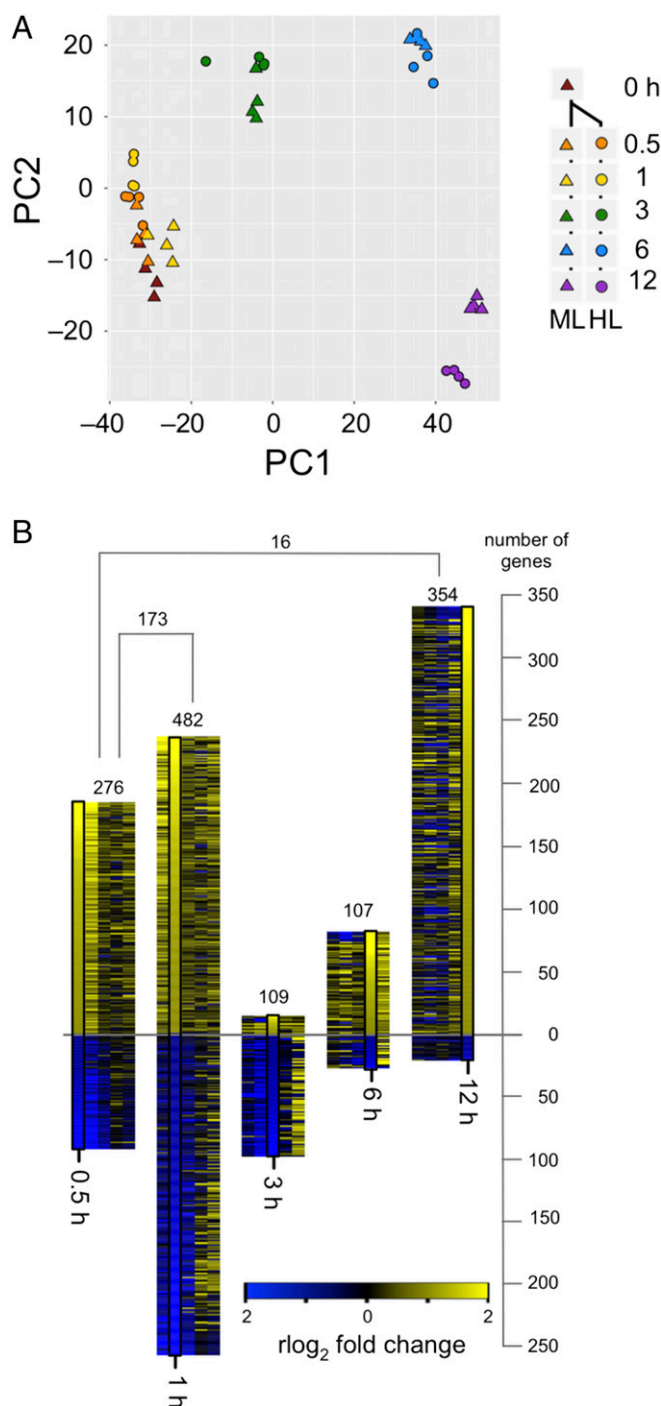


Fig. 5. *C. zofingiensis* RNA expression during transition to high light. Cultures of *C. zofingiensis* were grown diurnally (16 h light, 8 h dark) in $100 \mu\text{mol photons}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ medium light (ML). At $t = 0$, cultures were transferred to $400 \mu\text{mol photons}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ high light (HL). Samples were collected in quadruplicate at 0, 0.5, 1, 3, 6, and 12 h for ML cultures and at 0.5, 1, 3, 6, and 12 h for HL. Transcript abundances for each sample were determined by RNA-Seq. (A) Principal component analysis (PCA) of the regularized \log_2 -transformed counts for all 44 samples. The two most significant components, accounting for 95% of variation, are shown. ML (triangles) and HL (circles) are displayed with time point indicated by color. (B) Differentially expressed genes during transition to HL. Expression fold change in HL versus ML was determined for each time point for all genes. Genes at least twofold up-regulated or twofold down-regulated are indicated by the height of the bar above or below the line, respectively ($P < 0.01$); the total is indicated above each bar. The regularized \log_2 -transformed fold change between HL and ML is shown in the black box for each time point as indicated by color. For

Annotation of Metabolic Pathways and Photosynthesis-Related Genes.

Genes encoding homologs of the primary metabolic pathway enzymes involved with carbon, carotenoids, chlorophyll, fatty acids, lipids, and proteins involved in the composition, assembly, and regulation of the photosynthetic apparatus, were preliminarily identified by using the BLAT sequence search tool (54) against a *C. zofingiensis* draft genome (SI Appendix, Table S3). Based on the quality of alignments and comparison with well-characterized, closely-related plant and algal query sequences, the targeted gene models in *C. zofingiensis* were submitted as queries in reciprocal BLAST searches against the NCBI RefSeq nonredundant protein database to confirm coverage, domain architecture, and similarity across closely-related homologs. Because of the high quality of the *C. zofingiensis* assembly, this procedure resulted in a nearly complete list of putative genes needed to complete each pathway. Identified gene models were used to assess the quality of the automated gene family analysis across the six species of SI Appendix, Table S1, and the automated analysis was used to confirm additional candidate models and expand the set of annotations. Based on high sequence similarities and conservation of functional domains, we are generally confident in assignments of homology. However, it is possible that additional functional isoforms composed of more divergent sequences may also be present, having been missed by the parameters used for BLAT, BLAST, and the automated gene family analysis.

Identification and annotation of genes involved in lipid biosynthesis can provide targets for exploitation of *C. zofingiensis* for biofuel production. Using other oleaginous organisms as a guide, we would expect a robust oil-producing microalga to have an expanded family of acyltransferases. The *C. zofingiensis* diacylglycerol acyltransferases (DGAT) are too divergent from the protein sequences of type 1 DGAT and DGTT (type 2 DGATs; ref. 55) in *C. reinhardtii*, *A. thaliana*, and *M. neglectum* to identify the corresponding genes via BLAT. Using a more sensitive BLAST search with both types of DGAT sequences from *C. subellipsoidea* C-169 (gi|545360296), *Chlorella vulgaris* (gb|ALP13863.1), *Nannochloropsis gaditana* (gb|EWM23187.1), *A. thaliana* (gi|15224779, gi|18409359), and *C. reinhardtii* (Cre01.g045903, Cre03.g205050), additional copies of DGAT type 1- and DGTT-encoding genes were identified in *C. zofingiensis*, and yet more were identified by using the automated gene family analysis. In total, 11 genes were identified that have either an LPLAT (lysophospholipid acyltransferase) domain or a closely-related MBOAT (membrane bound O-acyltransferase) domain (SI Appendix, Table S3). We have tentatively assigned these genes as encoding proteins with diacylglycerol acyltransferase activity; however, some of these *C. zofingiensis* gene models have higher similarity to predicted proteins of unknown function than to annotated type 1 or type 2 DGAT proteins from other closely related organisms. Our finding of multiple copies of putative DGAT and DGTT genes in *C. zofingiensis* is consistent with transcriptome results from the closely-related ATCC 30412 strain (40). It is also possible, although unlikely, that one or multiple additional copies of DGAT or DGTT may be yet unidentified because of a gene modeling or assembly problem.

Homologous gene models were also identified for components of the photosynthetic apparatus and its assembly, including proteins that compose PSI, PSII, the major and minor light harvesting antennae, the cytochrome b_6f complex, the chloroplast ATP synthase complex, soluble electron carriers, and known assembly factors for these complexes (SI Appendix, Table S3). Thirteen of the *C. zofingiensis* light-harvesting complex (LHC) genes are predicted to be more like PSI-associated LHC genes (LHCAs) while nine are predicted to be PSII-associated LHC genes (LHCBs) (SI Appendix, Table S3). This distribution is in contrast to that found

comparison, the fold change of each of these genes at the other time points is presented flanking the black box. The number of differentially expressed genes in common between 0.5 and 1 h and between 0.5 and 12 h is indicated by square brackets.

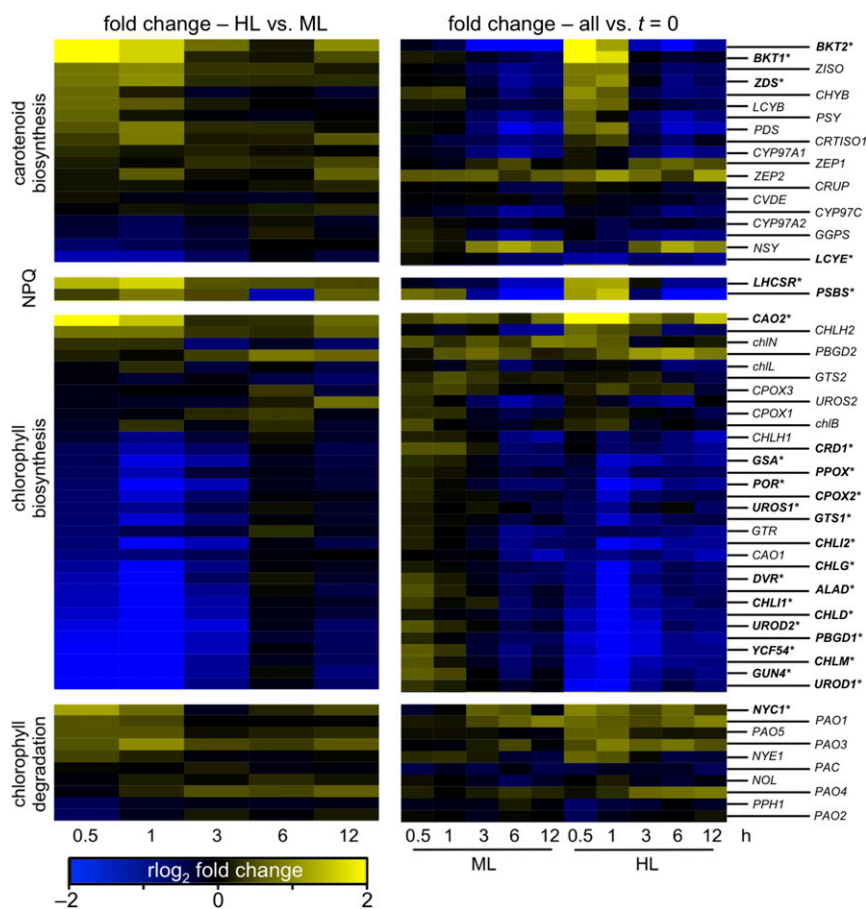


Fig. 6. *C. zofingiensis* RNA-Seq expression of select genes during the transition to high light. RNA-Seq was performed on cultures following a shift from medium light (ML, 100 $\mu\text{mol photons}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$) to high light (HL, 400–450 $\mu\text{mol photons}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$) as described in Fig. 5. *C. zofingiensis* genes potentially involved in carotenoid biosynthesis, nonphotochemical quenching (NPQ), and chlorophyll biosynthesis and degradation were identified by manual curation. (Left) The regularized \log_2 -transformed fold change between HL and ML for each of these genes at each time point is plotted as a heatmap. (Right) The regularized \log_2 -transformed fold change between each time point relative to $t = 0$ is plotted. Significantly differential genes that are over twofold up- or down-regulated are indicated by an asterisk and bold text ($P < 0.01$).

in *C. reinhardtii*, which has nine of each of *LHCA* and *LHCB*. Further experimental work is needed to confirm the expression profiles and photosystem association of each of these putative LHC proteins, especially under different light and stress conditions. Of note is one LHC model (Lhcb-like3, Cz04g24050) with little to no transcriptional expression detected in any of our RNA-Seq conditions.

Conclusions

Our analyses of the *C. zofingiensis* genome, transcriptome, astaxanthin-deficient mutants, and RNA expression changes under high light reveal insights into the basic biology of the green lineage of photosynthetic organisms and the carotenoid biosynthesis pathway. We present, in the tradition of model organisms, a high-quality chromosome-level assembly with independent genome validation. The compact ~ 58 -Mbp genome has balanced G+C content and is rich in protein-coding sequence with few long exons per gene and relatively little repetitive sequence. We identified ortholog families for the majority of *C. zofingiensis* genes. The gene density is uniform over chromosomes and a syntenic comparison with other algae uncovered highly significant genomically localized blocks of genes in putative orthologous relationships; however, gene order and strands within blocks are scrambled. We have shown that *BKTI* is critical for the production of astaxanthin and have identified candidate genes that could be missing components in astaxanthin biosynthesis and accumulation pathways. The addition of genomics to the

experimental toolkit for *C. zofingiensis* makes it an attractive alga not only for fundamental studies of its biology but also the economically viable and environmentally sustainable production of biofuels and important bioproducts.

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